



41st Saas-Fee Course  
From Planets to Life  
3-9 April 2011

# Lecture 5: Hydrogen Escape, Part 1

Prebiotic O<sub>2</sub> levels/  
Kinetic theory of gases/  
Jeans escape/  
Nonthermal escape

# Why do we care about hydrogen escape?

- Most H comes initially from H<sub>2</sub>O. Thus, when H escapes, O is left behind  
⇒ *terrestrial planets become more oxidized with time, even without biology*
- Atmospheric scientists got the prebiotic O<sub>2</sub> level wrong for many years before Jim (J.C.G.) Walker finally got it right
  - The reason they got it wrong was because they didn't understand hydrogen escape
- This problem is important, because it bears on the question of whether O<sub>2</sub> in an exoplanet atmosphere is a sign of life

# Prebiotic O<sub>2</sub> levels—historical perspective

- Berkner and Marshall (1964, 1965, 1966, 1967) tried to estimate prebiotic O<sub>2</sub> concentrations
  - They recognized that the net source of O<sub>2</sub> was photolysis of H<sub>2</sub>O followed by escape of H to space
  - These authors assumed that O<sub>2</sub> would build up until it shielded H<sub>2</sub>O from photolysis

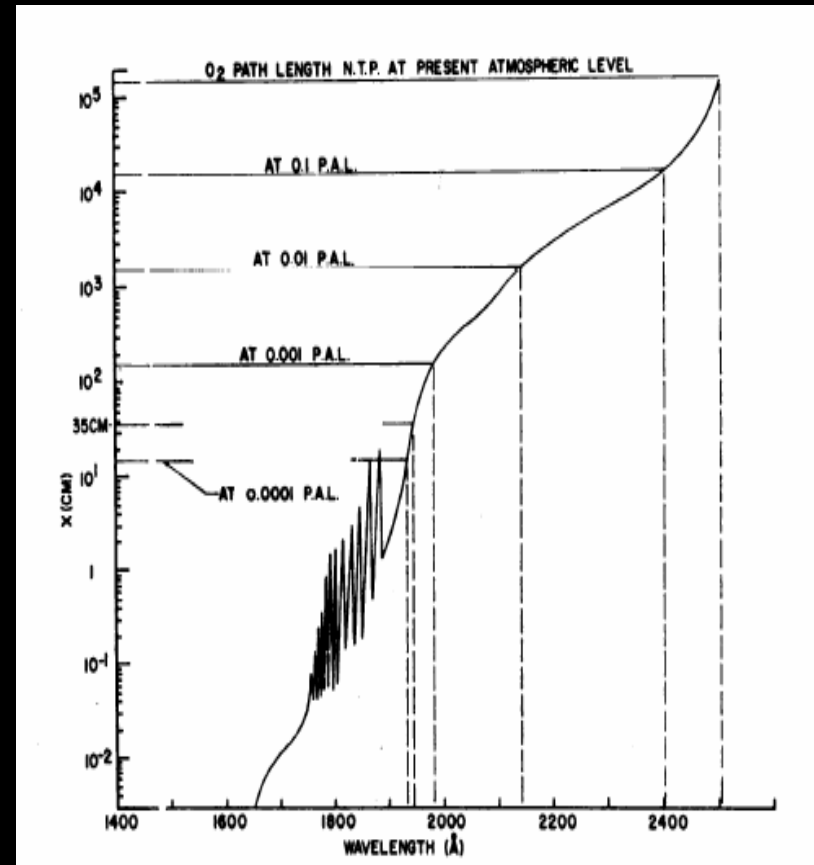
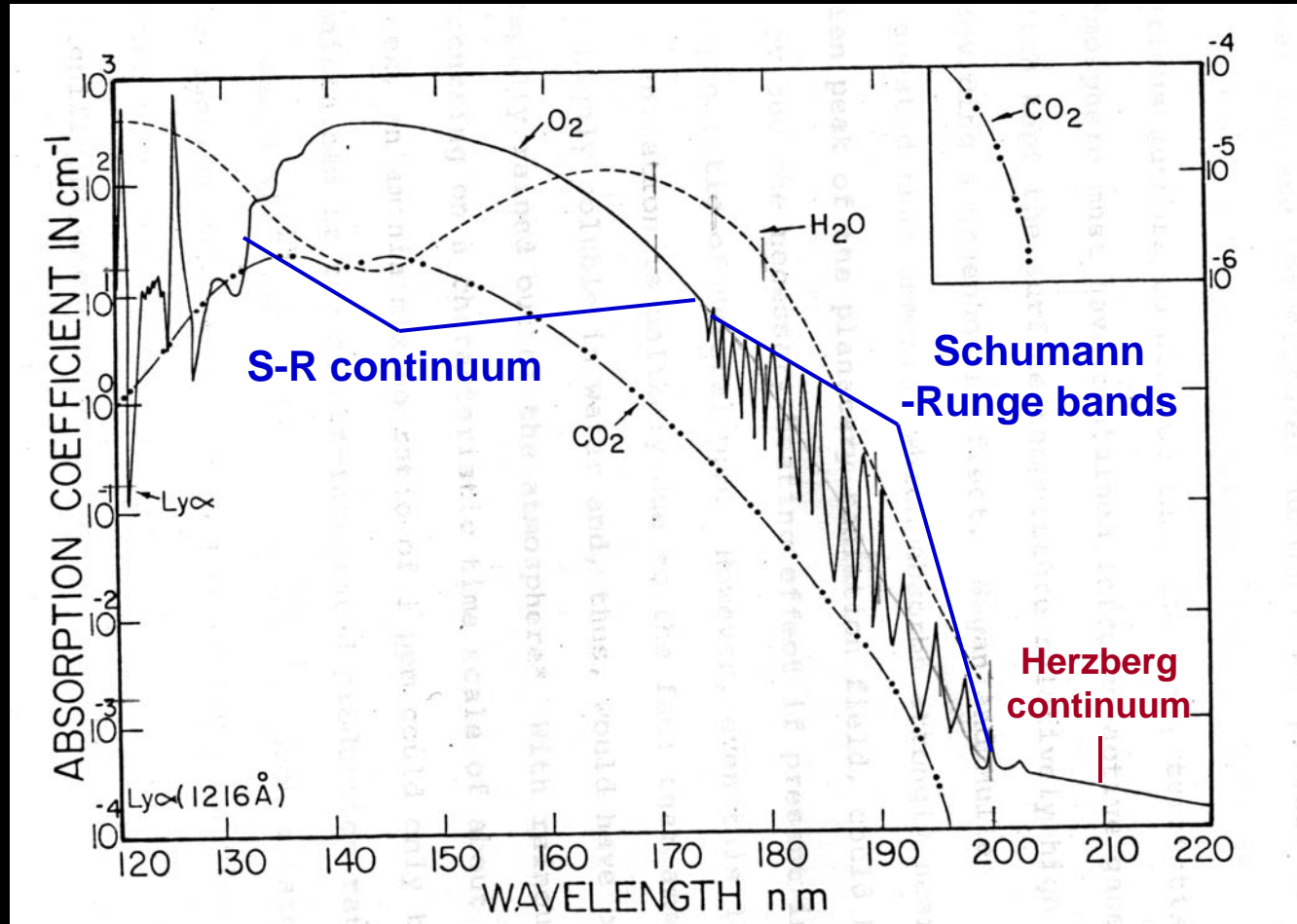


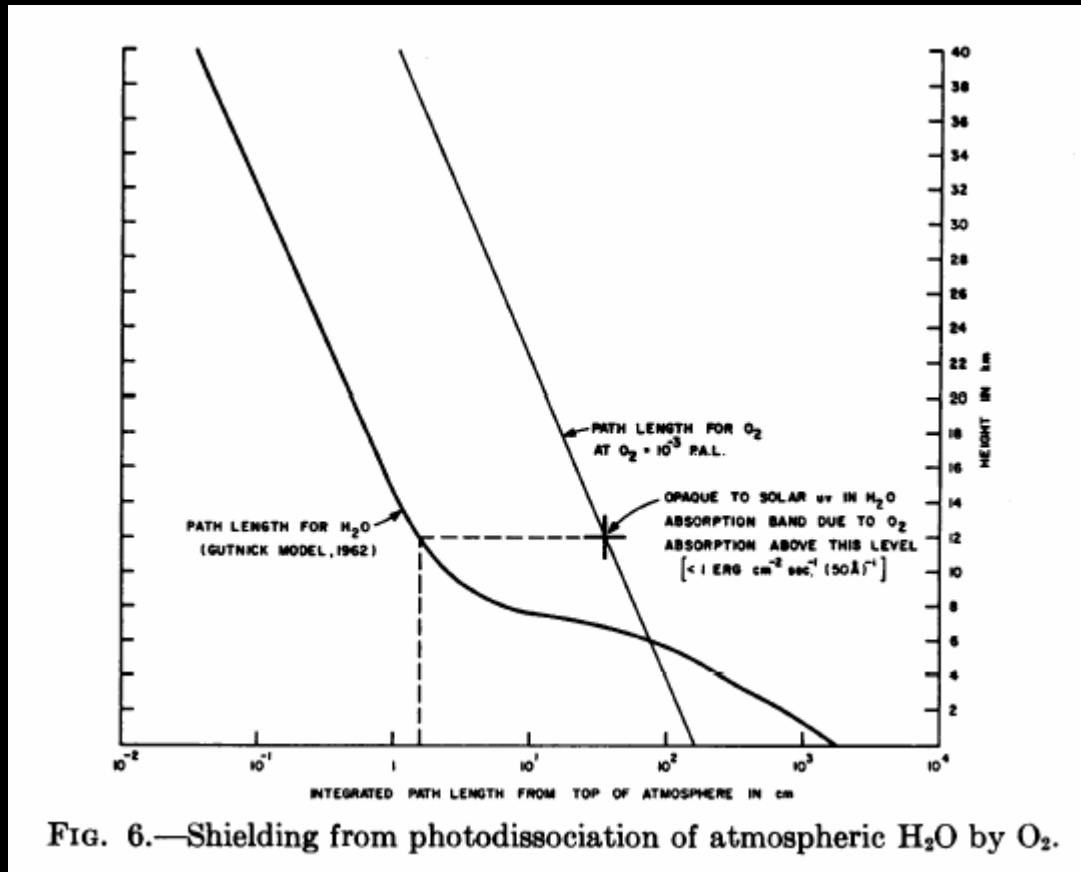
FIG. 5.—Thickness of O<sub>2</sub> required to absorb available UV to “extinction” [1 erg cm<sup>-2</sup> sec<sup>-1</sup> (50 Å)<sup>-1</sup>].

# UV absorption coefficients of various gases



Source: J.F. Kasting, Ph.D. thesis, Univ. of Michigan, 1979

# Berkner and Marshall's model



- Resulting O<sub>2</sub> mixing ratio is of the order of 10<sup>-3</sup> to 10<sup>-4</sup> PAL (times the Present Atmospheric Level)
- Don't worry if you can't read this graph, because their conclusion is **completely wrong!**

# Brinkman's model

- Brinkman (*Planet. Space Sci.* **19**, 791-794, 1971) predicted abiotic O<sub>2</sub> concentrations as high as 0.27 PAL
- Sinks for O<sub>2</sub>
  - He included a sink due to crustal oxidation, but he neglected volcanic outgassing of reduced species (e.g., H<sub>2</sub>, CO)
- Source of O<sub>2</sub>
  - He assumed that precisely 1/10<sup>th</sup> of the H atoms produced by H<sub>2</sub>O photolysis escaped to space. This fraction is much too high
  - Not until 1973 did we understand what controls the hydrogen escape rate on Earth. Don Hunten (*J. Atmos. Sci.*, 1973) figured this out while studying H escape from Saturn's moon, Titan

# Hydrogen escape

- Hydrogen escape can be limited either at the *exobase* (~500 km altitude) or at the *homopause* (~100 km altitude)
- Exobase—the altitude at which the atmosphere becomes collisionless
  - An exobase may not exist in a hydrogen-dominated upper atmosphere  $\Rightarrow$  get hydrodynamic escape
  - In any case, the factor limiting H escape in this case is *energy* (from solar EUV heating)

Mean free path  
= local scale height

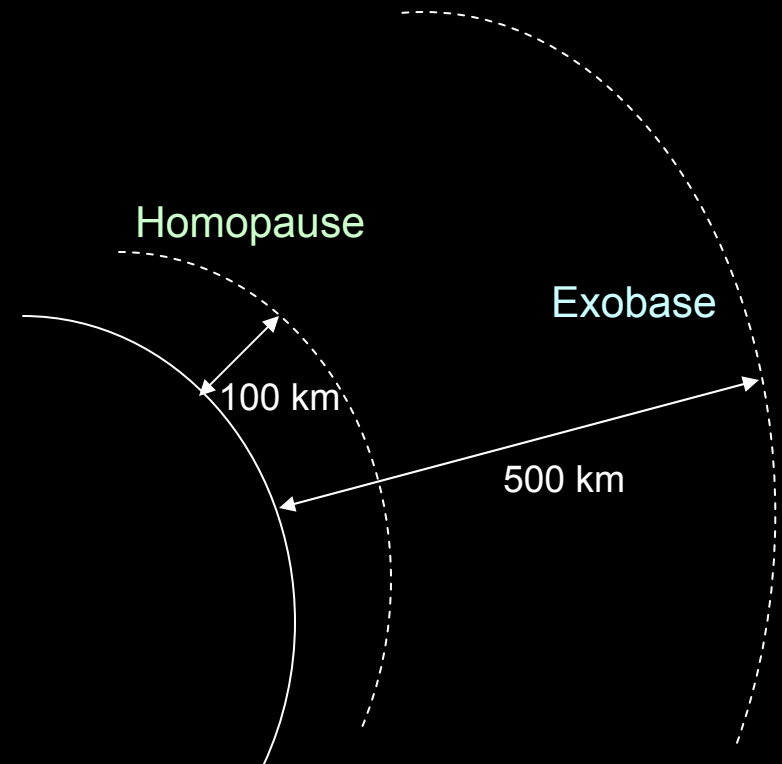
$$\frac{1}{\sigma n} \cong \frac{kT}{mg}$$

$\sigma$  = molecular  
collision  
cross section

$$\begin{aligned} n &\cong \frac{mg}{\sigma kT} \\ &= \frac{16(1.67 \times 10^{-24} \text{ g})(850 \text{ cm/s}^2)}{3 \times 10^{-15} \text{ cm}^2 (1.38 \times 10^{-16} \text{ erg/K})(1500 \text{ K})} \\ &\cong 4 \times 10^7 \text{ molecules/cm}^3 \end{aligned}$$

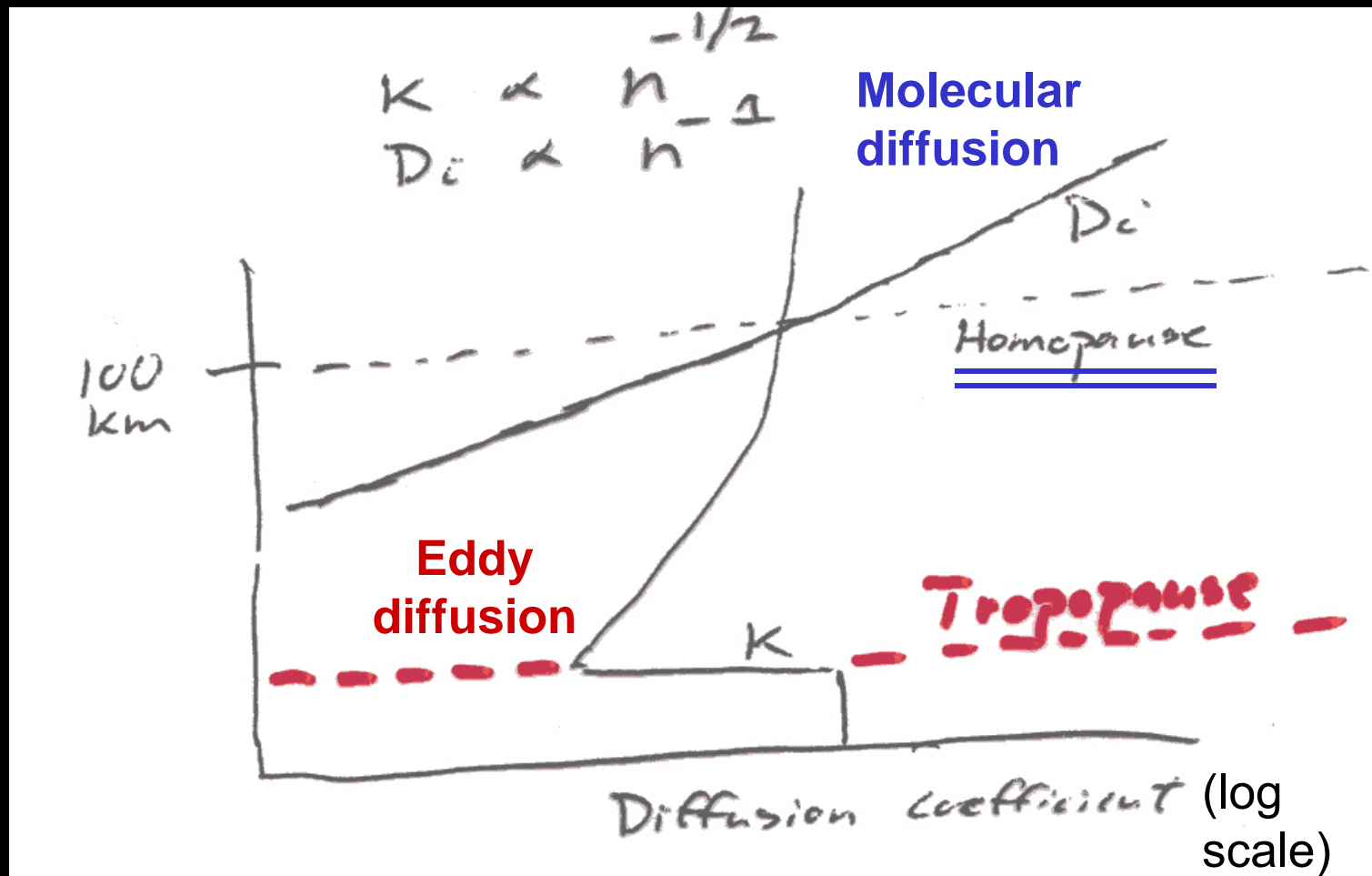
# Hydrogen escape (cont.)

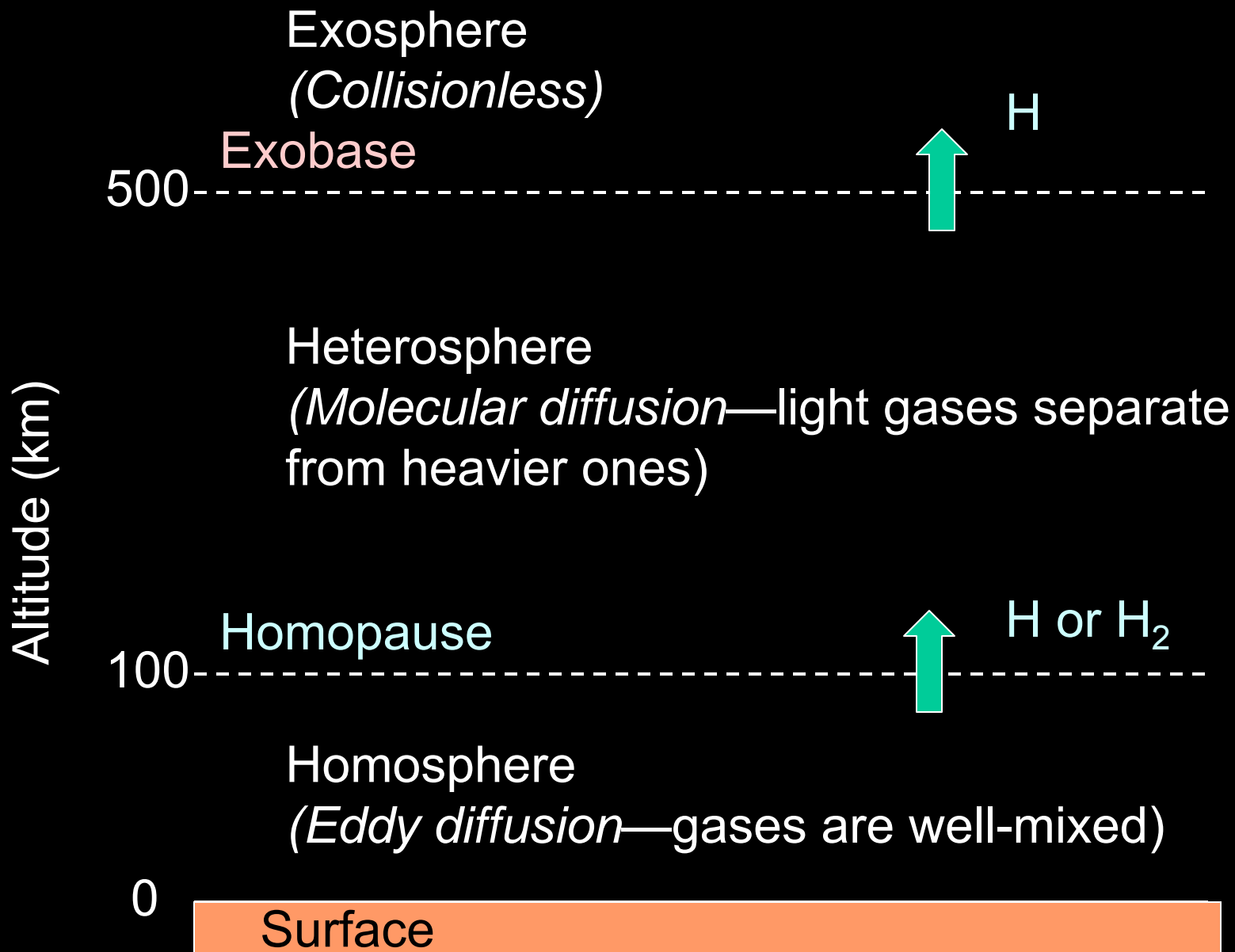
- Homopause—the altitude at which molecular diffusion replaces “eddy diffusion” as the dominant vertical transport mechanism
- Light gases separate out from heavier ones above this altitude
- The flux of hydrogen through the homopause is limited by *diffusion*





# Hydrogen escape (cont.)



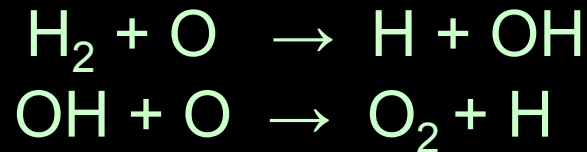


# Hydrogen escape from the exobase

- Earth's upper atmosphere is rich in  $O_2$  (a good EUV absorber) and poor in  $CO_2$  (a good IR radiator)  $\Rightarrow$  the exosphere is *hot*

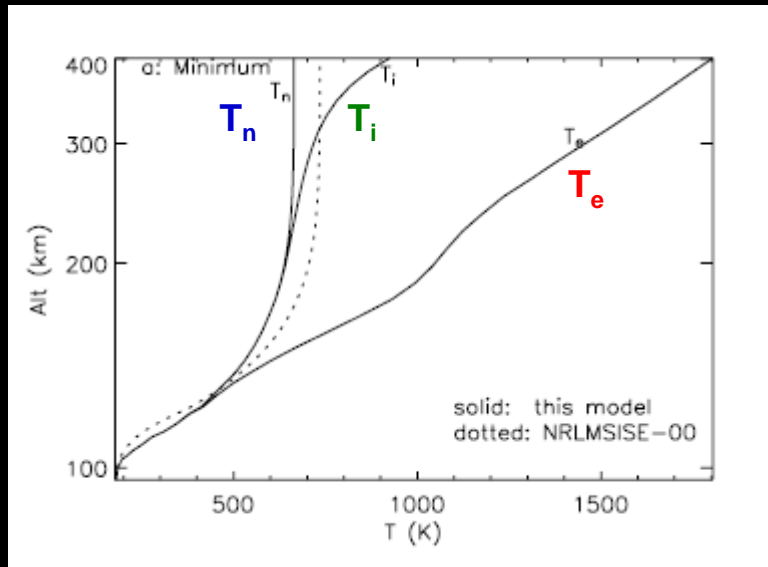
$$\begin{aligned}T_{\infty} &\cong 700 \text{ K (solar min)} \\ &\cong 1200 \text{ K (solar max)}\end{aligned}$$

- Furthermore,  $H_2$  is broken apart into H atoms by reaction with hot O atoms

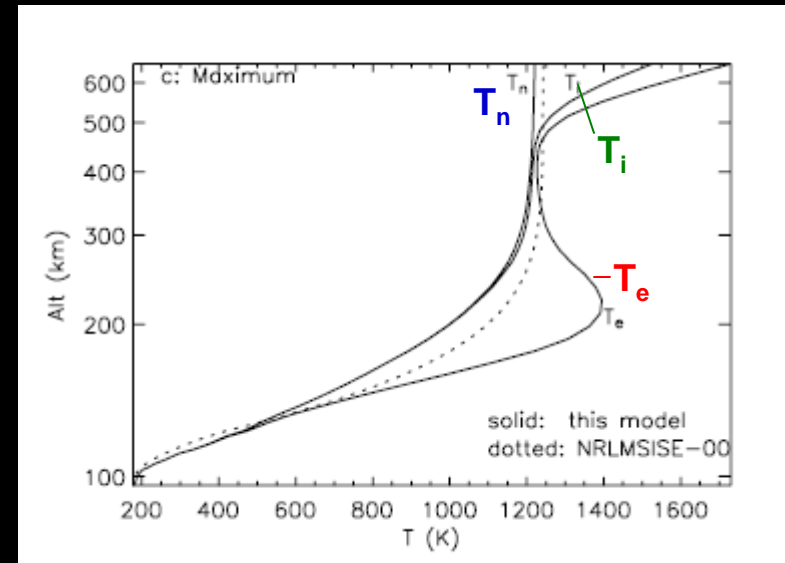


- Escape of light H atoms is therefore relatively easy

# Thermospheric temperature profiles for Earth



Solar minimum



Solar maximum

- $T_n$  = neutral temperature
- $T_i$  = ion temperature
- $T_e$  = electron temperature

# Hydrogen escape from the exobase

- For Earth, there are 3 important H escape mechanisms:
  - *Jeans escape*: thermal escape from the high-energy tail of the Maxwellian velocity distribution
  - *Charge exchange* with hot  $H^+$  ions in the magnetosphere
  - The *polar wind*
- Let's consider Jeans escape first  $\Rightarrow$

# Kinetic theory of gases

- Jeans escape is a form of thermal escape. Jeans' theory relied on previous work by Maxwell
- James Clerk Maxwell (1831-1879)
  - “(The work of Maxwell) ... the most profound and the most fruitful that physics has experienced since the time of Newton.”
  - Albert Einstein, *The Sunday Post*

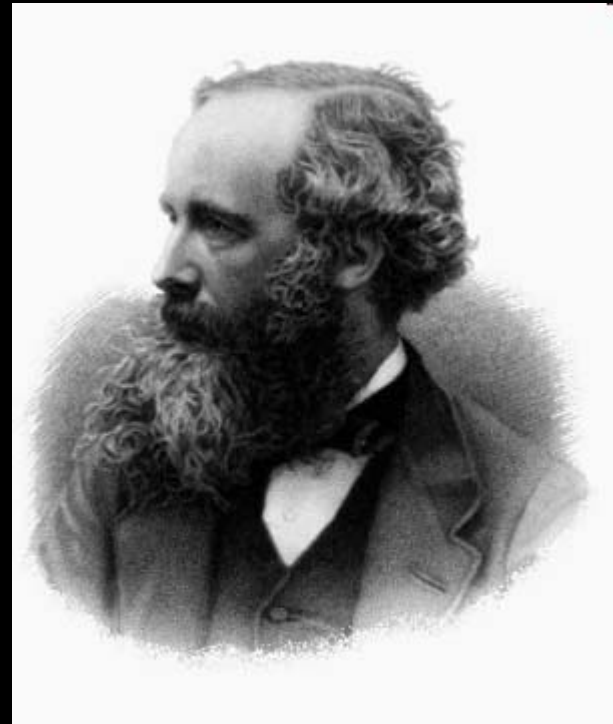


Image from *Wikipedia*

# Maxwellian velocity distribution

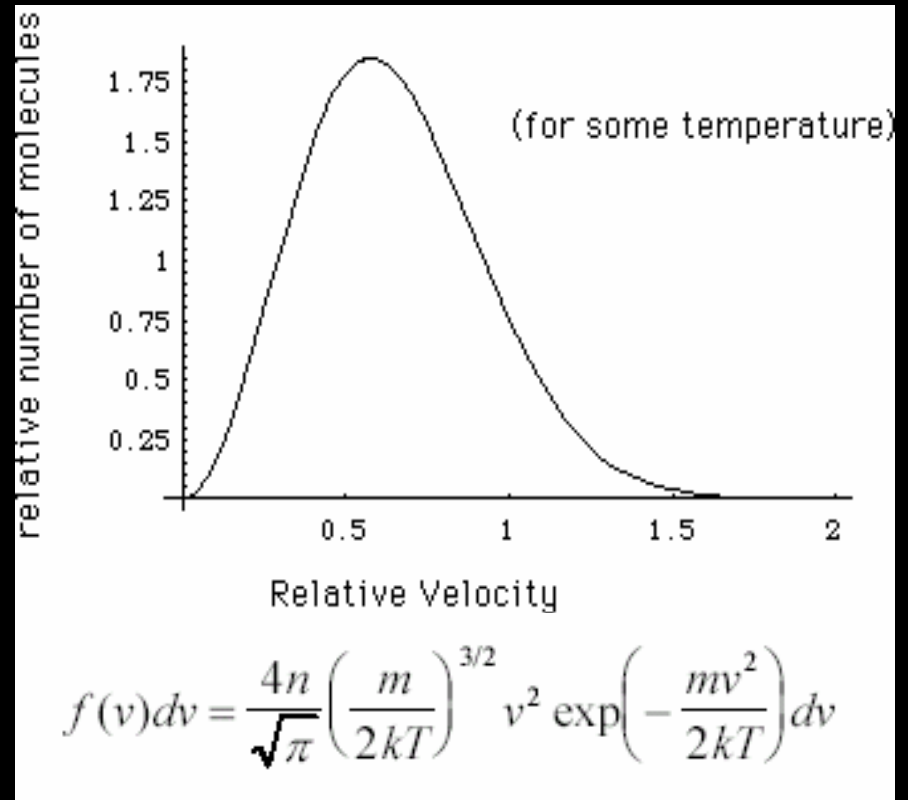
- Let  $f(v)$  be the number of molecules with speeds between  $v$  and  $v + dv$

- Constants:

$k$  = Boltzmann's constant,  
 $1.38 \times 10^{-23}$  J/K

$m$  = molecular mass

$T$  = temperature (K)



# Kinetic theory of gases

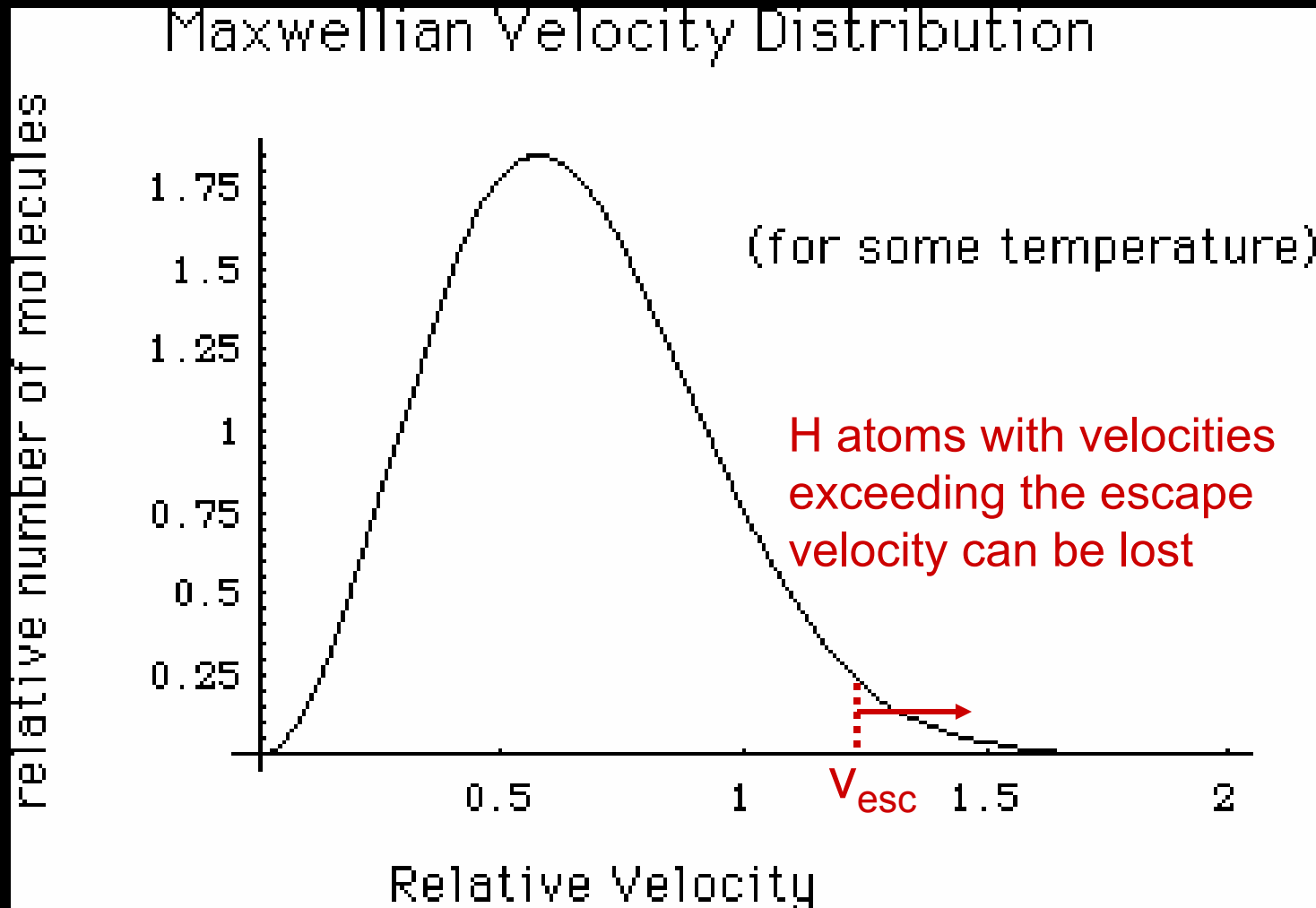
- Sir James Jeans  
(1877-1946)
  - Wrote: *The Dynamical Theory of Gases* (1904)
  - Figured out large chunks of what we now study in physics classes...



Image from *Wikipedia*



# Jeans (thermal) escape



# Escape velocity

- In order to escape, the kinetic energy of an escaping molecule must exceed its *gravitational potential energy* and it must be headed upwards and not suffer any collisions that would slow it down
- Who can do this mathematically?

# Escape velocity

$$\frac{1}{2} m v_e^2 = GMm/r$$

(K.E.)            (P.E.)

$$v_e = (2 GM/r)^{1/2}$$
$$= 10.8 \text{ km/s} \quad (\text{at } 500 \text{ km altitude})$$

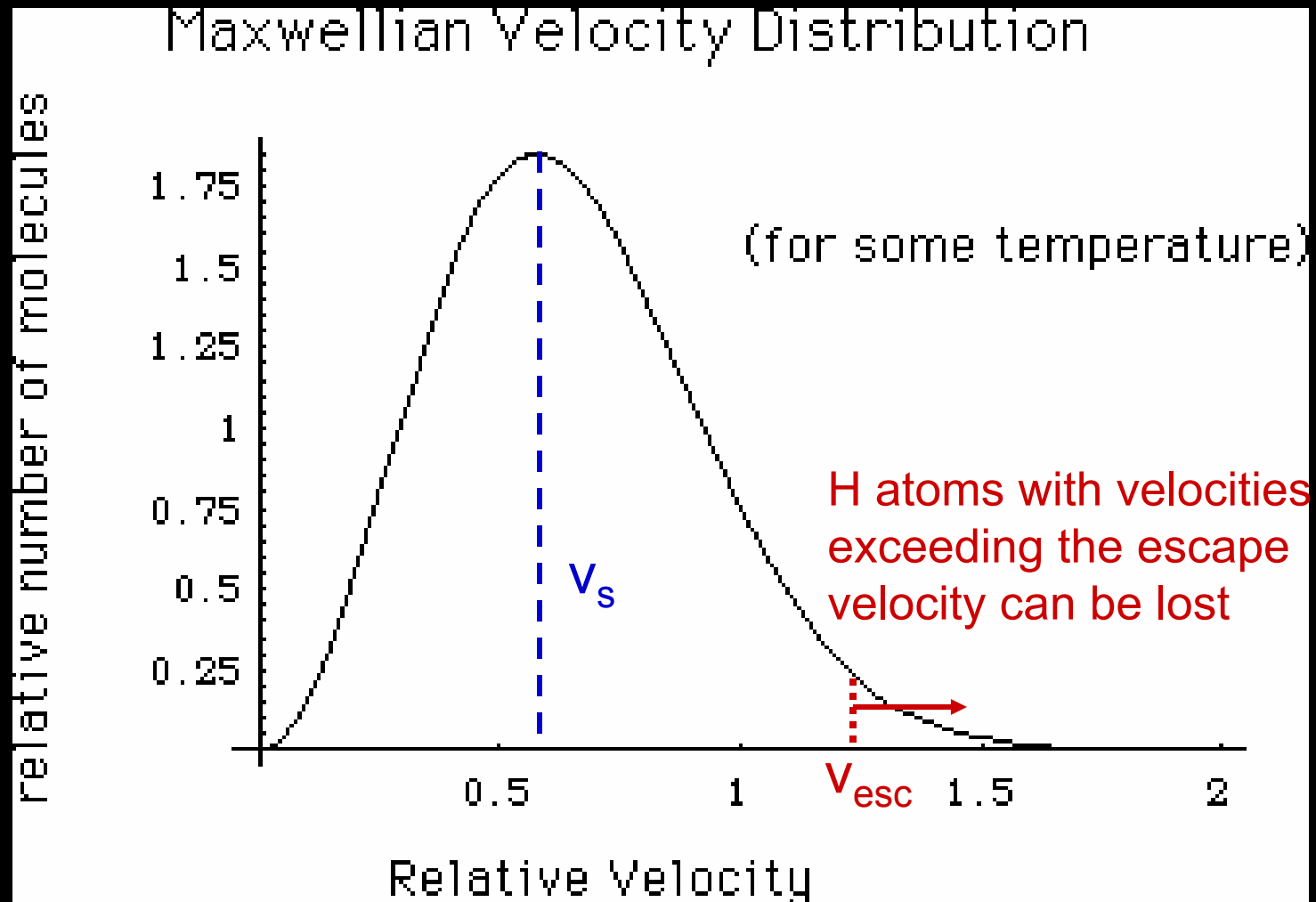
$m$  = mass of atom ( $1.67 \times 10^{-27}$  kg for H)

$M$  = mass of the Earth ( $5.98 \times 10^{24}$  kg)

$G$  = universal gravitational constant ( $6.67 \times 10^{-11}$  N m<sup>2</sup>/kg<sup>2</sup>)

$r$  = radial distance to the exobase ( $6.871 \times 10^6$  m)

# Most probable velocity



# Root mean square velocity

Energy:  $\frac{1}{2} kT$  per degree of freedom

Translational energy: 3 degrees of freedom

$$\Rightarrow \text{KE} = \frac{3}{2} kT$$
$$\frac{1}{2} mv^2 = \frac{3}{2} kT$$

$$v_{rms} = (3 kT/m)^{1/2}$$

# Most probable velocity

- Most probable velocity:  $v_s = (2 kT/m)^{1/2}$
- Evaluate for atomic H at  $T = 1000$  K  
 $v_s = 4.07$  km/s
- Compare with escape velocity  
 $v_{esc} = 10.8$  km/s
- These numbers are not too different  
 $\Rightarrow$  an appreciable number of H atoms can escape

# Escape parameter, $\lambda$

- Define the escape parameter,  $\lambda_c$ , as the ratio of gravitational potential energy to thermal energy at the critical level,  $r_c$

$$\lambda_c = \frac{GMm/r_c}{\frac{1}{2} m v_s^2} = \frac{GMm/r_c}{\frac{1}{2} m (2kT/m)}$$

$$\lambda_c = \frac{GMm}{kTr_c}$$

# Jean's escape flux

The Jean's escape velocity can be calculated by integrating over the Maxwellian velocity distribution, taking into account geometrical effects (escaping atoms must be headed upwards). The result is

$$\phi_{esc} = \frac{1}{2\sqrt{\pi}} n_c v_s (1 + \lambda_c) e^{-\lambda_c}$$

The escape flux is equal to the escape velocity times the number density of hydrogen atoms at the critical level, or exobase

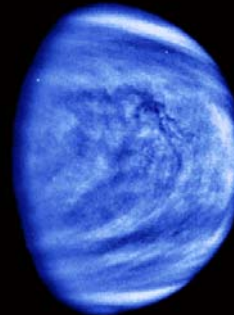
$$\phi_{esc} = n_c v_J$$



- If the exospheric temperature is high, then Jeans' escape is efficient and hydrogen is easily lost
  - In this case, the rate of hydrogen escape is determined at the homopause (diffusion-limited flux)
- If the exospheric temperature is low, then hydrogen escape *may* be bottled up at the exobase

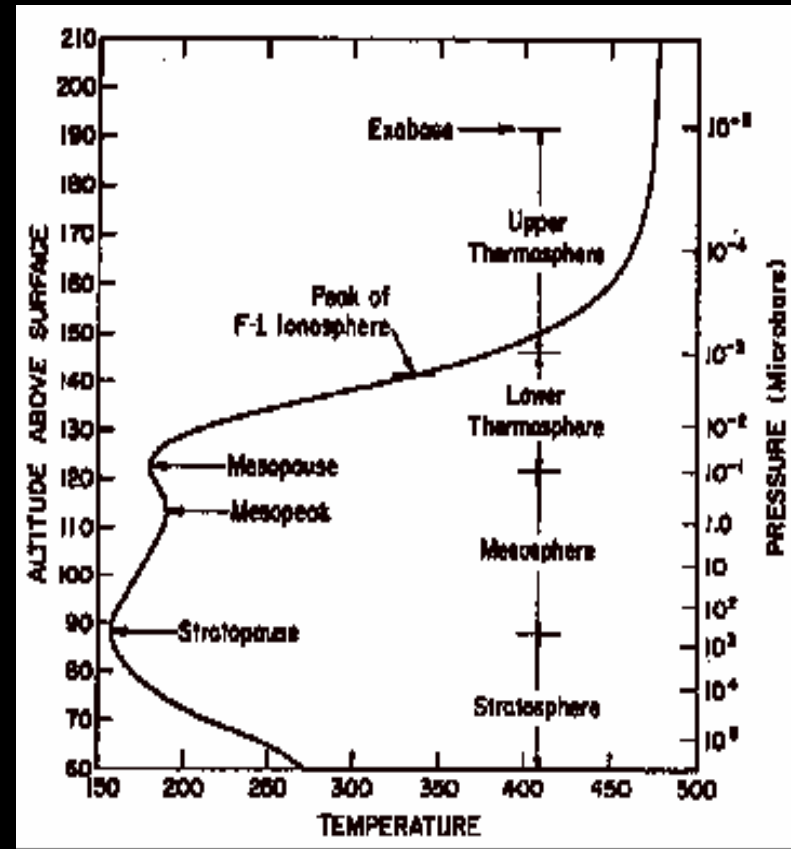
# Hydrogen escape processes

- Mars and Venus have CO<sub>2</sub>-dominated upper atmospheres which are very cold (350-400 K)  
⇒ Escape from the exobase is limiting on both planets



# Venus dayside temperature profile

- Upper atmosphere is relatively cool, despite being strongly heated by the Sun
- CO<sub>2</sub> is a good infrared *radiator*, as well as absorber



<http://www.atm.ox.ac.uk/user/fwt/WebPage/Venus%20Review%204.htm>

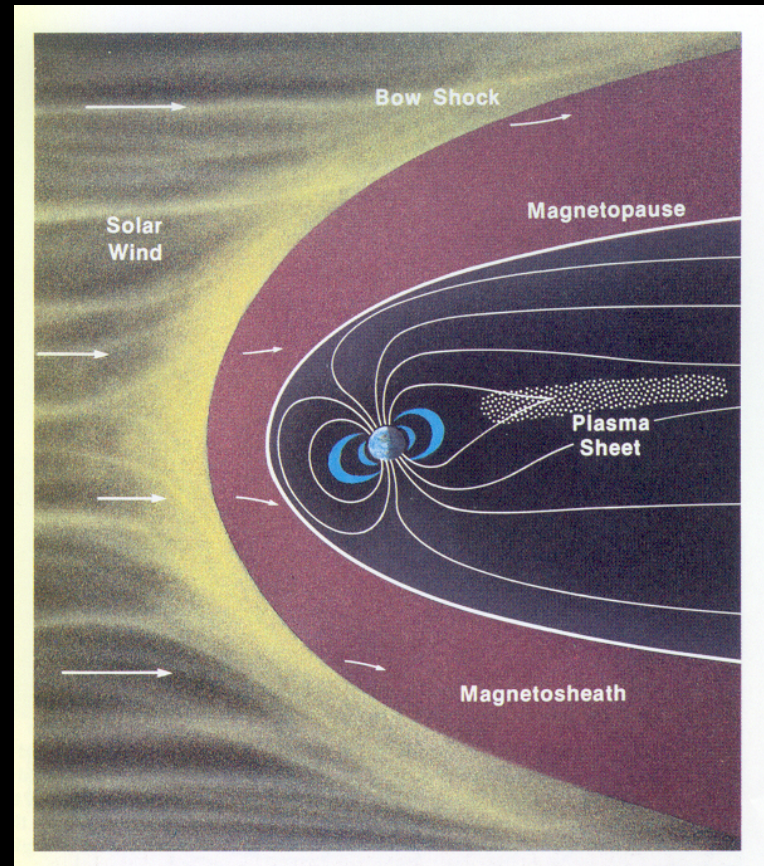
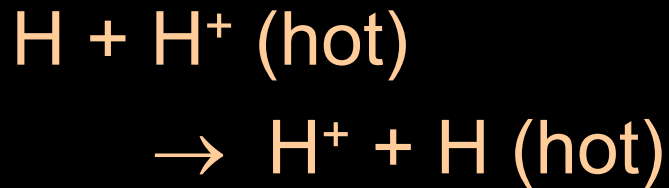
# Hydrogen escape processes

- For Earth, Jeans escape is efficient at solar maximum but not at solar minimum
  - However, there are also other *nonthermal* H escape processes that can operate..



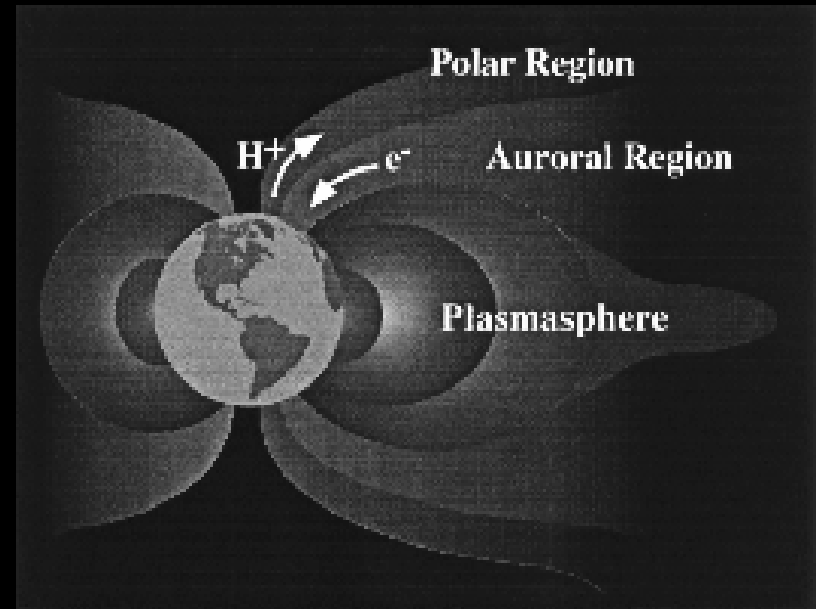
# Nonthermal escape processes

- Charge exchange with hot  $H^+$  ions from the magnetosphere



# Nonthermal escape processes

- The polar wind:  
H<sup>+</sup> ions can be accelerated out through open magnetic field lines near each pole
- The upward acceleration is set up by a charge separation electric field that exists in the ionosphere
  - Electrons are lighter than the dominant O<sup>+</sup> ions; hence, they tend to diffuse to higher altitudes



[http://www.spri.umich.edu/SPRL/research/polar\\_wind.html](http://www.spri.umich.edu/SPRL/research/polar_wind.html)

Conclusion: Hydrogen can escape efficiently from the present exobase at both solar maximum and solar minimum

⇒ H escape is limited by diffusion through the homopause

Corollary: The escape rate is easy to calculate (see next lecture)